
Section 1: 8-K (8-K)

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported): June 27, 2016

PZENA INVESTMENT MANAGEMENT, INC.

(Exact Name of Registrant as Specified in its Charter)

Delaware

(State or Other Jurisdiction of
Incorporation)

001-33761

(Commission File Number)

20-8999751

(IRS Employer Identification Number)

320 Park Avenue, 8th Floor, New York, New York

(Address of Principal Executive Offices)

10022

Zip Code

Registrant's Telephone Number, Including Area Code: (212) 355-1600

(Former Name or Former Address, If Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act.
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act.
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act.
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act.

ITEM 5.02 DEPARTURE OF DIRECTORS OR CERTAIN OFFICERS; ELECTION OF DIRECTORS; APPOINTMENT OF CERTAIN OFFICERS; COMPENSATORY ARRANGEMENTS OF CERTAIN OFFICERS.

On June 27, 2016, Pzena Investment Management, Inc. (the “Company”) announced that Gary J. Bachman, its Chief Financial Officer, will move to the position of Chief Operating Officer and become a member of our operating company’s Executive Committee, effective July 1, 2016. Information on Mr. Bachman’s background is contained in Pzena’s Annual Report on Form 10-K for the fiscal year ended December 31, 2015.

Pzena also announced that Jessica R. Doran has been appointed to the position of Chief Financial Officer and Treasurer, effective July 1, 2016. Ms. Doran, 34, has spent over ten years with Pzena working across various functions including operations, internal audit and most recently as the Manager of Financial Reporting. Ms. Doran received her M.S. in Accounting from Fordham Graduate School of Business in 2014 and received her B.A. in Economics and Management from Gettysburg College in 2003.

A copy of the news release is furnished herewith as Exhibit 99.1.

ITEM 9.01 FINANCIAL STATEMENTS AND EXHIBITS.

99.1 Press release, dated June 27, 2016, of Pzena Investment Management, Inc.

SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Pzena Investment Management, Inc.

Dated: June 27, 2016

By: /s/Joan Berger

Name: Joan Berger

Title: General Counsel

EXHIBIT INDEX

<u>Exhibit No.</u>	<u>Document</u>
99.1	Press release, dated June 27, 2016, of Pzena Investment Management, Inc.

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Section 2: EX-99.1 (EXHIBIT 99.1)



Pzena Promotes Gary J. Bachman to Newly Created Chief Operating Officer Role; Names Jessica R. Doran as Chief Financial Officer

New York (June 27, 2016) - Pzena Investment Management, Inc. (NYSE: PZN) today promoted Gary J. Bachman to the newly created role of Chief Operating Officer, effective July 1. Mr. Bachman will assume oversight of the operations of Pzena Investment Management, LLC and will also be named to its Executive Committee effective as of July 1. Jessica R. Doran will assume the position of Chief Financial Officer and Treasurer, after having spent several years in various roles at Pzena. Ms. Doran will report to Mr. Bachman and Mr. Bachman will report to Pzena's Chairman and Chief Executive Officer, Rich Pzena.

"Gary has tremendous expertise in our industry and I am very pleased that he will be assuming this new leadership position at Pzena. Bringing together key functions under Gary will allow Pzena to realize efficiency improvements across our business," said Mr. Pzena. "As our new CFO, Jessica brings an excellent understanding of our business to the role. Her experience with our company will be integral to implementing our business strategy."

Mr. Bachman has served as the company's Chief Financial Officer since 2012. Prior to joining the company, Mr. Bachman served as Executive Director of the Investment Bank Finance Department at JP Morgan Chase from 2008 to 2012. Prior to this, Mr. Bachman worked in the Strategic Transaction and Accounting Policy and External Reporting groups at Lehman Brothers, from 2000 to 2008.

"Having a dedicated executive focused on day-to-day activities will help further Pzena's growth and efficiency and as COO, I'll work across fundamental areas of our business to help the team tackle issues and move quickly to adapt to the changing dynamics of our business," said Mr. Bachman.

Ms. Doran began her tenure at the company in 2005 as a portfolio accountant and has served the company in various roles over the years in functions ranging from operations, internal audit and most recently as the Manager of Financial Reporting.

"I look forward to continuing the company's strong record of financial management and playing an active role as Pzena continues to grow its presence in the market," said Ms. Doran.

About Pzena

Pzena Investment Management, LLC, the firm's operating company, is a value-oriented investment management firm. Founded in 1995, Pzena Investment Management has built a diverse, global client base. More firm and stock information is posted at www.pzena.com.

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